

# Alfred Saupe – 50 Years of Research

## What we can and what we cannot learn from bibliometry

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Max Planck Institute for Polymer Research, Mainz, Germany

37th German Liquid Crystal Workshop, Stuttgart

Alfred Saupe Commemoration Session



# Introduction

- Scientific achievements of A. Saupe (*40 Years of Research*)

Festschrift on the occasion of Alfred Saupe's 70<sup>th</sup> birthday, *Dynamics and Defects in Liquid Crystals*, edited by P.E. Cladis and P. Palffy-Muhoray (Gordon and Breach 1998);  
and in *Mol.Cryst.Liq.Cryst.* **292**, 1 (1997)

- Bibliometry for A. Saupe



# Number of Publications

Web of Science: A. Saupe = 162 (or 151 until 1997) **dead wrong**

true numbers: 134 (or 127 until 1997 according to the Festschrift)

double counting, abstracts, wrong A's (Adrian, Anne)  
but also **missing papers** (e.g. *Z.Phys.Chem.* of the late 50s)



- do not trust the numbers
- numbers are not all
- much more scientific work than publications
- publication only after very comprehensive studies



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# Hirsch Index

Web of Science (corrected):  $H_{A.Saupe} = 35$

does not seem to reflect adequately the impact of A. Saupe's work or, there is scientific influence beyond publications



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# Citations (general)

- impressive number of total citations:  
ca. **8754** in total
- but very unevenly distributed
- very high numbers for few papers:  
12.5% of the papers draw ca. 6592 (or 75%) citations
- many papers with almost no citations:  
30% have less than 10 citations and 15% less than 2
- blockbusters, but also work outside the main stream fashion
- closer look necessary



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# Citations (Maier-Saupe theory) I

- The 3 original papers setting up the **Maier-Saupe theory**

W. Maier and A. Saupe, *Z. Naturforsch.* **A13**, 564 (1958), **A14**, 882 (1959), and **A15**, 287 (1960)

have been cited **809**, **1557**, and **1346** times, respectively (status 2/2009)

- citations very high on long time period  
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# Citations (Maier-Saupe theory) II

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<b>citations</b>	<b>2000</b>	<b>2001</b>	<b>2002</b>	<b>2003</b>	<b>2004</b>	<b>2005</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>
(1958)	23	28	21	15	12	25	17	20	10
(1959)	47	59	36	40	44	35	34	37	26
(1960)	32	47	24	20	22	16	28	35	20

on average 28 citations per paper per year in the 21st century, yet.

- recognition was delayed

<b>citations</b>	<b>1960</b>	<b>1961</b>	<b>1962</b>	<b>1963</b>	<b>1964</b>	<b>1965</b>	<b>1966</b>	<b>1967</b>	<b>1968</b>
(1958)	0	0	0	2	0	0	1	1	3
(1959)	0	1	0	2	1	1	2	1	3
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not only because the papers were in German, but also ahead of time



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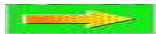
<b>citations</b>	<b>1960</b>	<b>1961</b>	<b>1962</b>	<b>1963</b>	<b>1964</b>	<b>1965</b>	<b>1966</b>	<b>1967</b>	<b>1968</b>
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# Maier-Saupe Theory and how it evolved

- absorption of polarized UV light, isotropic vs. nematic (diploma thesis)
  - no special "nematic" interaction<sup>1</sup>
- spectra of benzene  $\pi$ -electrons in PAA
  - long-ranged *induced* dipole interaction<sup>2</sup>
- larger  $\Delta\epsilon$  leads to larger nematic existence range
  - odd-even effect in alcy chains



self-consistent mean field treatment (à la Weiss' ferromagnetism);  
 angle-dependent mean field potential calculated in 2nd order  
 perturbation theory;  
 very successful Maier-Saupe theory,  $S(T)$ ,  $\Delta\rho$ , pretransitional effects,  
 heat of transition, specific heat, compressibility, etc.

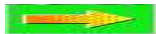
<sup>1</sup>W. Maier, A. Saupe, *Z.Phys.Chem.* **6** (1956) 327

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# NMR Measurements

- NMR for nematics, theory and experiment<sup>3</sup>
- NMR of other organic molecules embedded in nematics:
  - sharp spectra due to motional narrowing
  - very ordered environment allows for more information
- together with other spectral methods (UV, IR) intermolecular forces, quadrupolar interaction, electronic structure, proton distances, anisotropic chemical shifts were obtained

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## Further Highlights

- **biaxial lyotropic nematics**<sup>4</sup> and their Leslie-Ericksen dynamics<sup>5</sup>
- smectic C phase by Schlieren textures
- chiral smectic C phase as conic-helicly twisted structure
- director relaxation rather than linear waves
- blue phase as cubic superstructure of defect lines
- started polar smectic mesomorphism ( $A_2$  phase)
- nematic gradient energy (calculations and measurements,  $K_{13}$ )
- defects and textures (Schlieren, fan, focal conics, dislocations)
- instabilities (EHC, smectic undulations)
- amphiphilic and micellar lyotropic liquid crystals
- optics-related research (selective reflection, electro-optical, electro-clinic, electro-mechanical)

<sup>4</sup>L.J. Yu, A. Saupe, *Phys.Rev.Lett.* **45** (1980) 1000

<sup>5</sup>A. Saupe, *J.Chem.Phys.* **75** (1981) 5118



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<sup>8</sup>J. Nehring, A. Saupe, *Faraday Transact. II* **68** (1972) 1

<sup>9</sup>A. Saupe, *Mol. Cryst. Liq. Cryst.* **21** (1973) 211



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<sup>10</sup>P. Sengupta, A. Saupe, *Phys.Rev.A* **9** (1974) 2698

<sup>11</sup>D. Johnson, A. Saupe, *Phys.Rev.A* **15** (1977) 2079



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<sup>12</sup>K. Radley, A. Saupe, *Mol.Cryst.Liq.Cryst.* **44** (1978) 227;  
*Mol.Phys.* **35** (1978) 1405

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<sup>13</sup>R. Dreher, G. Meier, A. Saupe, *Mol.Cryst.Liq.Cryst.* **13** (1971) 17

<sup>14</sup>A. Jakli, A. Saupe, *Mol.Cryst.Liq.Cryst.* **222** (1992) 101



# Recent Papers

7 papers (in addition to the list in the Festschrift):

- ① *Surface-imaging of frozen blue phases in a discotic liquid crystal with atomic force microscopy*  
A. Hauser, M. Thieme, A. Saupe, G. Heppke, D. Krüerke, *J. Mater. Chem.* **7** (1997) 2223.
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A. Jakli, A. Saupe, *J. Appl. Phys.* **82** (1997) 2877.
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G.G. Peroli, G. Hillig, A. Saupe, E.G. Virga, *Phys. Rev. E* **58** (1998) 3259.
- ④ *Tilted smectic layers of a SmC\* liquid crystal between homeotropically treated plates*,  
M. Giocondo, A. Jakli, A. Saupe, *Eur. Phys. J.* **1** (2000) 61.
- ⑤ *Helical filamentary growth in liquid crystals consisting of banana-shaped molecules*,  
A. Jakli, C. Lischka, W. Weissflog, G. Pelzl, A. Saupe, *Liq. Cryst.* **27** (2000) 1405.
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and one book:

- ① *One- and Two-dimensional Fluids: Properties of Smectic, Lamellar and Columnar Liquid Crystals*, A. Jakli, A. Saupe (CRC Press, 2006).



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## Additional Coauthors

In addition to the 61 coauthors until 1995, there are 21 more afterwards

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J. Bajc	X.-H. Chen	S. Diele	M. Giocondo
A. Hauser	G. Heppke	G. Hillig	D. Krüerke
I. Letho	Ch. Lischka	S. Markscheffel	S.-S. Pak
G.G. Peroli	G. Pelzl	M. Schadt	T. Scharf
G. Scherowski	M. Thieme	T. Tóth-Katona	E.G. Virga
W. Weissflog			

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- sometimes a closer look at numbers can give new insights
- not everything is worth publishing
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Alfred Saupe – a great scientist and outstanding person



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