

CONVECTIVE NONLINEARITY IN NON-NEWTONIAN FLUIDS

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ABSTRACT

In the limit of infinite yield time for stresses, the hydrodynamic equations for viscoelastic, Non-Newtonian liquids such as polymer melts must reduce to that for solids. This piece of information suffices to uniquely determine the nonlinear convective derivative, an ongoing point of contention in the rheology literature. We find that none of the convective nonlinearities suggested in the rheology literature contains the correct solid-limit. We do obtain, for the Eulerian strain and in the limit in which the strain is small, the so called “upper convected derivative”.

KEYWORDS: CONVECTIVE NONLINEARITY, HYDRODYNAMICS, EULERIAN DESCRIPTION, STRESS TENSOR, NON-NEWTONIAN FLUIDS

INTRODUCTION

Viscoelastic non-Newtonian fluids behave as Newtonian ones at low frequencies, and as solids at higher frequencies. A consistent hydrodynamic description needs to reflect this fact and must therefore contain, as special cases, both the hydrodynamic theory [1, 2] for isotropic liquids and solids. The liquid limit is well heeded in the polymer literature and universally correctly implemented [3, 4]. The solid limit is problematic, as we shall see, and compatibility especially in the nonlinear regime of large displacements and rotations has so far proven elusive. The reason behind it is probably the lack of a consistent hydrodynamic theory for solids. This text is a shortened version of [5].

One of the obstacles is that such a theory necessarily employs a strain tensor different from the one customarily used [6]. The usual strain tensor is of the Lagrange type, derived from equations of motion for mass points, while a framework to set up hydrodynamic equations including dissipative terms only exists in the Eulerian description – which considers evolution of field variables at spatial points. Consistency forbids a mixing of both descriptions and requires an Eulerian strain tensor [7]. (We note that the linear hydrodynamic theory may mix both descriptions, as the smallness of the displacements ensures that the discrepancy is negligible.)

The presentation of the nonlinear hydrodynamic theory for solids is what we shall do first. Then these equations are generalized for non-Newtonian fluids by adding relaxation-type terms to account for a finite yield time of the stresses, such that in the high frequency limit the theory is unchanged, but in the low frequency limit only the terms of the isotropic liquid hydrodynamics remain. So, by ensuring the valid liquid and solid limits, this approach leads to the correct hydrodynamic theory for any liquids displaying viscoelasticity. It is of great importance for rheology, as

many competing theories exist, which differ especially in their respective nonlinear convective derivatives.

Note that the insistence on the solid limit also determines the choice of variables, being that of a solid: the strain and the conserved quantities. As the solid is the more complicated of the two limits, there is no reason to, in addition, take the stress as an independent variable, as most theories in the rheology literature do, see for instance Chap. 7-9 in the first of [4]. Aside from unnecessarily making a derived quantity independent, this approach also commits a cardinal hydrodynamic sin, because the stress as a hydrodynamic flux contains reactive and dissipative parts, and does not possess a well-defined time reversal parity – without which we have no way of applying the Onsager relations.

NONLINEAR HYDRODYNAMICS OF SOLIDS

We now introduce the nonlinear hydrodynamic theory of solids. A proper description relies on two coordinates: the actual spatial coordinate r_i , specifying a point in an elastic body, and the coordinate a_i this point has possessed in the absence of any stresses. More carefully, starting from a stress-free elastic body, we consider a point with the initial coordinate a_i . As the body is translated, rotated, compressed and sheared, this point is displaced to r_i – especially in soft matter generally rather remote from a_i . Since all points of the body have a unique pair of a_i and r_i , the function $r_i(a_m)$ is unique and invertible, the result of which is denoted as $a_i(r_m)$. For brevity, we shall refer to all r_i as the real space, and to all a_i as the initial space.

The energy density of an isotropic liquid in its rest frame is a function of the mass and entropy density, $\epsilon(\rho, s)$ – or equivalently, $d\epsilon = Tds + \mu d\rho$. All variables, including the conjugate ones, temperature T and chemical potential μ , are here functions of the real coordinate r_m . As a result, the spatial dependence of (say) the temperature is quite independent of the liquid’s compressional state. This is the Euler notation, and its basic advantage is that physics, which we insist must be local, is also expressed in local terms, accounted for by quantities at the real coordinates r_m . Consider for instance the diffusive heat current, which is given by the local gradient of the temperature, $\sim \partial T(r_m)/\partial r_k$, only in the Eulerian description.

Returning to solids, we have two choices: First, take all variables including especially the temperature and chemical potential as functions of a_m , and employ them with the strain tensor U_{ik}^L . This would be consistent, but highly inconvenient. For instance, the heat current $\sim \partial T(r_m)/\partial r_i$ at the real space point r_m now presumes the knowledge (not usually available) of the global transformation, $r_m \leftrightarrow a_m$,

as $\partial T(r_m)/\partial r_i = [\partial T(a_m)/\partial a_k](\partial a_k/\partial r_i)$. Similarly, with \mathbf{g} the momentum density, the angular momentum density is $\mathbf{r}(a_m) \times \mathbf{g}(a_m)$ rather than $\mathbf{a} \times \mathbf{g}(a_m)$. (If the system is only weakly deformed, with $u_i = r_i - a_i$ small, the above differences between r_i and a_i may be neglected to linear order.) Finally, more specific to the issue at hand, our equations need to contain both the elasticity theory and the liquid hydrodynamics. The latter, however, is usually and concisely given in the Euler notation.

The second, and the only actually viable, choice is to take all variables including the strain tensor in the local, Eulerian notation, as functions of r_m . We shall therefore employ the Eulerian strain tensor [7, 8], introduced via

$$U_{ik} = \frac{1}{2}[\delta_{ik} - (\partial a_\alpha/\partial r_k)(\partial a_\alpha/\partial r_i)], \quad (1)$$

where the usual summation convention applies. We are now using Latin and Greek indices to discriminate the components in real and in initial space, respectively. As discussed, \mathbf{a} and \mathbf{r} are vectors of different spaces, so they transform as vectors under rotations in initial and real space, respectively. The elastic energy is independent of the orientation of the initial space. Given any transformation $\mathbf{a} \leftrightarrow \mathbf{r}$, we should still be free to take a global but arbitrary rotation of all \mathbf{a} , ie, rotate the initial space with respect to the real space. Therefore, \mathbf{a} and \mathbf{r} are indeed vectors of two different spaces, and a quantity such as $\nabla_i a_\alpha \equiv \partial a_\alpha/\partial r_i$ is a vector both in real and initial space, (a bi-vector,) and not a second rank tensor.

We proceed to show that the bi-vector $\nabla_i a_\alpha$ not only contains the information about the strain, as shown in Eq (1), but also that about the local orientation. The *polar decomposition theorem* (cf W. Noll, p.65 ff, Vol.2 of [3]) states

$$\nabla_i a_\alpha = R_{\alpha j} \Xi_{ij}, \quad (2)$$

where $R_{\alpha j}$ is the rotation matrix that rotates the local preferred directions in real space back to the global ones in initial space, while Ξ_{ij} is a symmetric matrix that deviates from δ_{ij} only for finite strains. Consider first the unstrained case $\Xi_{ij} = \delta_{ij}$: Because of $da_\alpha = (\nabla_i a_\alpha) dr_i$ with $da_\alpha^2 = dr_i^2$, the gradient $\nabla_i a_\alpha$ is indeed a rotation matrix $R_{\alpha j}$, and must satisfy $R_{\alpha j} R_{\alpha k} = \delta_{jk}$, $R_{\alpha j} R_{\beta j} = \delta_{\alpha\beta}$. For finite strains, Eq. (1) implies $\delta_{ij} - 2U_{ij} = R_{\alpha k} \Xi_{ik} R_{\alpha l} \Xi_{lj} = \Xi_{ik} \Xi_{kj}$, the square root of which is

$$\Xi_{ij} = \sqrt{\delta_{ij} - 2U_{ij}} \approx (\delta_{ij} - U_{ij} - \frac{1}{2}U_{ik}U_{kj} \dots). \quad (3)$$

[This expansion is valid for small strains U_{ij} , but arbitrary rotations $R_{\alpha j}$. The square root of a matrix is defined by its power series. One can verify Eq (3) by calculating $\Xi_{ik} \Xi_{kj}$.]

In accounting for solid behaviour, we need to keep track of the local preferred directions, or $R_{\alpha i}$, which may vary considerably by accumulation over a long distance, even if the strain is small – think of a sheet of single crystal, slightly bent over a long stretch to form a tube of large radius. Let us consider as an example the harmonic approximation for the energy $E = \int \epsilon dV$,

$$\epsilon = \frac{1}{2} K_{ijkm} U_{ij} U_{km} = \frac{1}{2} K_{\alpha\beta\gamma\delta} U_{\alpha\beta} U_{\gamma\delta} \quad (4)$$

$$U_{ij} = R_{\alpha i} R_{\beta j} U_{\alpha\beta} \quad (5)$$

$$K_{ikjlm} = R_{\alpha i} R_{\beta j} R_{\gamma k} R_{\delta l} K_{\alpha\beta\gamma\delta} \quad (6)$$

where $U_{\alpha\beta}$ and $K_{\alpha\beta\gamma\delta}$ are the attendant quantities in the initial space. The elements of $K_{\alpha\beta\gamma\delta}$ are the constant elastic moduli of the solid.

More generally, ϵ also depends on the mass, entropy and momentum density, ρ , s , and g_i . So the final thermodynamic expression for an elastic medium is

$$d\epsilon = T ds + \mu d\rho + v_i dg_i + \psi_{\alpha i} d\nabla_i a_\alpha. \quad (7)$$

Turning now to dynamics, the equation of motion for a_α is

$$\frac{d}{dt} a_\alpha \equiv \dot{a}_\alpha + v_k \nabla_k a_\alpha = -Y_\alpha. \quad (8)$$

In equilibrium, with the dissipative contribution Y_α vanishing, this equation simply states the fact that the initial coordinate a_α of a mass point does not change when one moves with it.

The entropy production $\dot{s} + \nabla_i (s v_i - f_i) = R/T$, conservation of mass and momentum, $\dot{\rho} + \nabla_i (\rho v_i) = 0$, $\dot{g}_i + \nabla_j (\sigma_{ij} - \sigma_{ij}^D) = 0$, and Eq. (8) represent the complete hydrodynamic theory of solids, where

$$\sigma_{ij} = p \delta_{ij} + v_i g_j + \psi_{\alpha j} \nabla_i a_\alpha, \quad (9)$$

$$R = f_i \nabla_i T + \sigma_{ij}^D A_{ij} - Y_\alpha \nabla_k \psi_{\alpha k}, \quad (10)$$

[with $A_{ik} \equiv \frac{1}{2}(\nabla_i v_k + \nabla_k v_i)$, $p \equiv -\epsilon + Ts + \mu\rho + v_i g_i$] are unambiguously given by thermodynamics, Eq. (7), via the hydrodynamic standard procedure. Eq. (10) implies that the three fluxes $f_i, \sigma_{ij}^D, Y_\alpha$ are linear combinations of the three forces $\nabla_i T, A_{ij}, \nabla_k \psi_{\alpha k}$. These give rise, respectively, to the dissipative phenomena of diffusive heat current, viscous stress, and defect diffusion. The structure of the linear combination, ie the symmetry of the Onsager coefficients, is given by the symmetry group of the crystal [6]. For isotropic solids, we have only diagonal terms, especially $f_i \sim \nabla_i T$ and $Y_\alpha \sim \nabla_k \psi_{\alpha k}$. As discussed at length in [1, 9], it is incorrect to take the latter contribution as zero: The initial coordinate of a mass point may change, $\dot{a}_\alpha \neq 0$, in the absence of any mass current, $v_i = 0$, when there is diffusive motion of vacancies. Conversely, motions of interstitials involve mass current, $v_i \neq 0$, but no change of crystal points, $\dot{a}_\alpha = 0$.

Since $\nabla_i a_\alpha$ as a variable is completely equivalent to U_{ij} and $R_{\alpha i}$, the equation of motion (8) for \dot{a}_α may always be rewritten as two equations of motion, for \dot{U}_{ij} and $\dot{R}_{\alpha i}$. Though rather more complicated, this is certainly closer to the conventional elasticity theory. With the help of Eqs. (2) and (3), we rewrite Eq. (8) as

$$2 \frac{d}{dt} U_{ij} = [\Xi_{jl} \Xi_{lk} \nabla_i v_k + R_{\alpha k} \Xi_{jk} \nabla_i Y_\alpha] + [i \leftrightarrow j], \quad (11)$$

$$\Xi_{ij} R_{\alpha l} \frac{d}{dt} R_{\alpha j} = -\Xi_{lj} \nabla_i v_j - R_{\alpha l} \nabla_i Y_\alpha - \frac{d}{dt} \Xi_{il}, \quad (12)$$

which may be approximated by taking U_{ij}, A_{ij}, Y_α and $R_{\alpha j} \frac{d}{dt} R_{\alpha i} - \omega_{ij}$ as small quantities (with $2\omega_{ij} \equiv \nabla_j v_i - \nabla_i v_j$). To second order in the small quantities, though neglecting terms of order $U_{kj} \nabla_i Y_\alpha$, the result is

$$\frac{d}{dt} U_{ij} - A_{ij} = [\frac{1}{2}(\nabla_i Y_\alpha) R_{\alpha j} - (\nabla_i v_k) U_{kj}] + [i \leftrightarrow j] \quad (13)$$

$$R_{\alpha j} \frac{d}{dt} R_{\alpha i} - \omega_{ij} = [\frac{1}{2} R_{\alpha i} \nabla_j Y_\alpha + \frac{1}{2} U_{jk} A_{ik}] - [i \leftrightarrow j] \quad (14)$$

Written in the conjugate variables of U_{ij} , $\Psi_{ij} \equiv \partial\epsilon/\partial U_{ij}$, and $R_{\alpha i}$, $\chi_{\alpha i} \equiv \partial\epsilon/\partial R_{\alpha i}$, the stress tensor Eq. (9) reads

$$\sigma_{ij} = p \delta_{ij} + v_i g_j - \Psi_{ij} + \Psi_{ki} U_{jk} + \Psi_{kj} U_{ik} + \chi_{\alpha j} R_{\alpha i} + \frac{1}{2} \chi_{\alpha k} (U_{ki} R_{\alpha j} + U_{kj} R_{\alpha i}). \quad (15)$$

This ends the presentation of the hydrodynamic theory of solids. These equations account for any solid system, including crystals of all symmetry groups and glasses. This pertains especially to the nonlinear structure, important if one is to account for large displacement and rotation, strong compression and shear. These are usually small in bulk crystals, but quite large in complex liquids. In awareness of this, many nonlinear models for convective-like nonlinearities have been suggested [3, 4], though none was constructed to contain the nonlinear solid limit.

NON-NEWTONIAN FLUIDS

To generalize our results to visco-elastic Non-Newtonian fluids, we note first that the solid hydrodynamics contains the liquid hydrodynamics by setting to zero the elastic stress $\psi_{\alpha i} = \partial\epsilon/\partial(\nabla_i a_\alpha)$. Confining ourselves to isotropic systems, it suffices to set $\Psi_{ij} = 0$, because $\chi_{\alpha i} = 0$ already holds. The latter is true, since for isotropic systems there is no preferred direction to keep track of locally, so no material tensor in real space will depend on $R_{\alpha i}$, which implies $\chi_{\alpha i} = 0$. This is explicitly seen in the harmonic approximation, Eq (4), where $K_{\alpha\beta\gamma\delta} = (K_L - K_T/3)\delta_{\alpha\beta}\delta_{\gamma\delta} + K_T/2(\delta_{\alpha\gamma}\delta_{\beta\delta} + \delta_{\alpha\delta}\delta_{\beta\gamma})$ due to isotropy. Inserting this into Eq (5), we again obtain $K_{ijklm} = (K_L - K_T/3)\delta_{ij}\delta_{klm} + K_T/2(\delta_{ik}\delta_{jlm} + \delta_{im}\delta_{jlk})$, manifestly independent of $R_{\alpha i}$.

Taking U_{ij} as the variable that relaxes as long as $\Psi_{ij} \neq 0$, we connect the isotropic solid dynamics to fluid dynamics such that the former holds in the high frequency regime (where the relaxation is negligible) and the latter in the low frequency regime (where relaxation is dominant). Therefore, we proceed by allowing a relaxation term X_{ij} in Eq. (13), $\dot{U}_{ij} + \dots = X_{ij}$. It leads to an additional term in the entropy production, Eq (10), $R = \dots - X_{ij}\Psi_{ij}$, which implies to lowest order, $X_{ij}^0 = -\alpha_T\Psi_{ij}^0$ and $X_{kk} = -\alpha_L\Psi_{ll}$. (The superscript ⁰ denotes the traceless part of the given tensor.) So we have

$$\begin{aligned} \frac{d}{dt}U_{ij} - A_{ij} + [(\nabla_i v_k)U_{kj} - \frac{1}{2}(\nabla_i Y_\alpha)R_{\alpha j} + i \leftrightarrow j] \\ = -\alpha_T\Psi_{ij}^0 - \frac{1}{3}\alpha_L\Psi_{kk}\delta_{ij}, \end{aligned} \quad (16)$$

with α_T, α_L denoting two transport coefficients. To understand the added terms, one can use the example of the harmonic approximation, Eq (4), yielding $\alpha_T\Psi_{ij}^0 = \alpha_T K_T U_{ij}^0 = U_{ij}^0/\tau_T$ and $\alpha_L\Psi_{kk} = \alpha_L K_L U_{kk} = U_{kk}/\tau_L$. Clearly, this implies relaxation for U_{ij}^0 and U_{kk} , with the respective relaxation times τ_T and τ_L . (In principle, there are also two thermodynamic cross derivatives, $\delta\Psi_{kk} = K_\rho\delta\rho + K_T\delta T$.)

CONCLUSIONS

Note the universality of the results, especially the convective terms $\sim (\nabla_i v_k)$, which remarkably are not preceded by any material-dependent coefficients. Their form is independent from the above approximation for X_{ij} and will remain unchanged even if additional variables are introduced, eg to account for the material-dependent rheological behavior such as shear thinning and normal stress differences.

To account for large deformation, rotation and velocity, many different nonlinearities, as mentioned, have been suggested and implemented in the rheology

literature, of which the two more popular ones are the upper and lower convective derivatives. Denoting an arbitrary matrix as $(*)$, the former is defined as $\widehat{D}_u(*) \equiv (\partial/\partial t + \mathbf{v} \cdot \nabla)(*) + (\nabla \mathbf{v})(*) + (*) (\nabla \mathbf{v})^T$, the latter as $\widehat{D}_\ell(*) \equiv (\partial/\partial t + \mathbf{v} \cdot \nabla)(*) - (\nabla \mathbf{v})^T(*) - (*) (\nabla \mathbf{v})$. Both are derived by invoking some variant of a postulated general principle, usually referred to as the ‘‘material frame independence’’. In the rheology literature [3, 4], $(*)$ is the stress tensor, taken as independent, but in principle it could also be the strain tensor.

Reviewing the many equations of motion considered above, it is easy to see that Eqs. (13) and (16) for U_{ij} can indeed be written as $\widehat{D}_u \mathbf{U} - \mathbf{A} = O(\nabla \mathbf{Y}, \Psi)$. None of the other equations may be brought into this form: It is not valid for \dot{a}_α and $\dot{R}_{\alpha i}$, see Eqs. (8, 12, 14); nor for the exact equations \dot{U}_{ij} , Eq. (11). Especially, it does not hold for the stress tensor.

This is a rather serious shortcoming and subjects to grave doubts all those descriptions that include upper and lower convected derivatives, combinations thereof, or yet another kind of quadratic nonlinearities. To overcome these, the authors really need to convincingly argue why their postulated general principle overrules the simple and physical requirement that, for infinite yield time of the stress, the dynamics of non-Newtonian liquids such as polymer melts is that of an isotropic elastic medium.

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